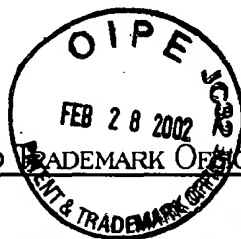




UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/988,401	11/19/2001	Heijiro Ojima	019970-005

CONFIRMATION NO. 8269

FORMALITIES LETTER



OC000000007138511

Platon N. Mandros
BURNS, DOANE, SWECKER & MATHIS, L.L.P.
P.O. Box 1404
Alexandria, VA 22313-1404

Date Mailed: 11/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/04/2002 SSITHIB1 00000168 09988401

01 FC:101
02 FC:105

740.00 OP
130.00 OP



Section 13
13

Patent
Attorney's Docket No. 019970-005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Heijiro OJIMA et al.) Group Art Unit: 1714
Application No.: 09/988,401 /) Examiner: Unassigned
Filed: November 19, 2001)
For: WATER-BASED LUBRICANT)
CONTAINING SULFUR AS A)
COORDINATE ATOM, AND USE)
THEREOF)

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

BOX: MISSING PART

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R.
§ 1.53(b) dated November 29, 2001, enclosed please find:

- ☐ a Combined Declaration and Power of Attorney signed by the inventor(s) and the
surcharge of ☐ \$65.00 (205) ☐ \$130.00 (105) as set forth in 37 C.F.R.
§ 1.16(e);
- ☐ Note that the inventor(s) identified on the currently filed Combined
Declaration and Power of Attorney are different from those listed on the
application filing papers.
- ☐ a Request for Refund;
- ☒ a Petition for Extension of Time;
- ☐ a verified English translation of the Application, and the \$130.00 (139) fee as set
forth in 37 C.F.R. § 1.17(k);
- ☐ an Assignment document and a separate check for the \$40.00 (581) Assignment
recordation fee;
- ☐ drawings for publication;
- ☒ other a check in the amount of \$740.00 for the basic filing fee;
- ☒ a check in the amount of \$ 130.00 for the fee due for missing parts; and

Transmittal Letter for Missing Parts of Application

Attorney's Docket No. 019970-005

Application No. 09/988,401

Page 2

☐ charge \$ _____ to Deposit Account No. 02-4800 for the fee due for missing parts.

☐ Small entity status is hereby claimed.

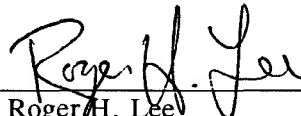
The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

By:



Roger H. Lee

Registration No. 46,317

Date: February 28, 2002